

App. No. 10/037,966

Amendment under 37 CFR §1.111

### **AMENDMENTS TO THE SPECIFICATION**

Please amend the specification as set forth hereinbelow.

Please replace paragraph **[0023]** with the following:

**[0023]** A19) U.S. provisional Application No.       /      ,       60/335,656 entitled "Polarization-engineered transverse-optical-coupling apparatus and methods", Docket No CQC12P, filed 10/30/2001 in the names of Kerry J. Vahala, Peter C. Sercel, Oskar J. Painter, David W. Vernooy, and David S. Alavi, said provisional application being hereby incorporated by reference in its entirety as if fully set forth herein;

Please replace paragraph **[0026]** with the following:

**[0026]** A22) U.S. non-provisional Application No.       /      ,       10/037,146 entitled "Resonant optical modulators", Docket No. CQC13NP, filed ~~concurrently with the present application~~ 12/21/2001 in the names of Oskar J. Painter, Peter C. Sercel, Kerry J. Vahala, David W. Vernooy, and Guido Hunziker, said non-provisional application being hereby incorporated by reference in its entirety as if fully set forth herein.